

Office for Citizens with Developmental Disabilities (OCDD)
QUARTERLY DEVELOPMENTAL DISABILITIES (DD) COUNCIL REPORT
 (Submitted for 3rd Quarter 2018)

September 24, 2018

SERVICES

Developmental Disability Waiver Activities

The following figures reflect **waiver activities thus far in state fiscal year 2017-2018 (July 1, 2018 – September 17, 2018)**:

WAIVER	Offers # *	Linkages #	Certifications
NOW	5	19	4
Children's Choice	272	189	40
Supports	227	139	22
ROW	43**	115**	12**

* This is the number of unique individuals made an offer or final offer during this time period.

** Includes offers made under the ROW Pilot Project and OAAS to ROW transitions.

The following figures reflect **total waiver numbers as of September 17, 2018**:

	Total # Slots Allocated	Total Participants linked, but not certified	Total # Certifications	Total # Vacant Slots
Mixed I/DD Waivers	13,264	634	12,323	307

Request for Services Registry (RFSR) as of August 31, 2018:

- Developmental Disability (DD) RFSR = 13,203
 - Individuals with urgent/emergent unmet needs (SUN score 3/4) = 0 (offers made to all as screened and identified 3 or 4)
 - Individuals with current needs met (SUN score 0/1/2) = 7,820
 - Individuals with no identified unmet needs = 3,820
 - Individuals in process of scheduling / receiving screening = 2,323

Residential Options Waiver (ROW)

- **ROW 5-Year Renewal application**
 The ROW renewal application has been approved by the Centers for Medicare & Medicaid Services (CMS) for an additional five (5) years, effective July 1, 2018.
- **ROW/OAAS Transition**
 The implementation phase of the CMS approved transition of Office of Aging and Adult Services (OAAS) Community Choices Waiver (CCW) and Adult Day Health

Care (ADHC) participants who have an OCDD Statement of Approval (SOA) to the ROW continues. This transition affects approximately 260 people.

- o One hundred and fifty (150) people have completed the transition and are receiving ROW services. Fifty-nine (59) other participants are in various phases of the transition process at this time.

New Opportunities Waiver (NOW)

An amendment to the New Opportunities Waiver was posted for public comment on August 29, 2018 with the comment period ending on September 28, 2018. The amendment is posted at: <http://www.ldh.la.gov/index.cfm/page/2526>. The purpose of the amendment is to add the Complex Care service in the NOW for individuals with complex medical and behavioral needs.

An Emergency Rule has also been drafted to add the service to the New Opportunities Waiver. In addition to the Complex Care service, the rule also changes the minimum age for the NOW from twenty-one (21) back to three (3). This age change will align the language in the NOW rule with the NOW CMS application. The emergency rule will be published in the October 2018 Register.

The IFS rate for night has been increased effective August 1, 2018. The new rates are as follows:

Procedure Code	Current Rate	New Rate as of 8/1/18
S5125 UJ	\$2.17/unit	\$2.75/unit
S5125 UN UJ	\$1.52/unit	\$1.93/unit
S5125 UP UJ	\$1.29/unit	\$1.65/unit

Supports Waiver (SW)

- The Supports Waiver Fact sheet and webpage has been updated to reflect the recent changes, including the registry.
- The Supports Waiver manual is currently in the process of being updated to reflect the changes as well.

Pinecrest Supports and Services Center (PSSC) - Transition Status

The following figures reflect transition activities from January 1, 2018 thru September 17, 2018:

- The census of Pinecrest Supports and Services Center to date is 396.
- In 2017, PSSC admitted 18 individuals and discharged 43. So far this year, 21 people have been admitted and 31 people have been discharged.
- Twenty-three participants are planning for a transition from the center.
 - o One person is anticipated to transition within the next 30 days.
 - o Nine people are anticipated to transition within the next quarter.

EarlySteps

- As of September 1, 2018, the EarlySteps program was providing services to 5,483 children and their families, an increase of 560 children since July 2018.
- The EarlySteps advisory council, the State Interagency Coordinating Council (SICC), will have its next meeting on October 11, 2018. The State Systemic Improvement Plan (SSIP) improvement area workgroups: Family Assessment, Services Supporting Family Priorities and Team-Based Practice Supports, will meet on the morning of the SICC meeting.
- SSIP Workgroup Activities underway:
 - EarlySteps has conducted round two of professional development activities on the Division of Early Childhood Recommended Practices (DEC RPs) for newly enrolled early interventionists and those not able to participate in the 2017 sessions. The DEC RPs are the evidence-based practices that are the focus for the SSIP. There has been at least one session scheduled in each region from July through September 2018, for a total of nine sessions.
 - The three SSIP workgroups are piloting the fidelity measures developed to measure practice fidelity by all early interventionists according to the DEC RPs in the three improvement areas (Family Assessment, Services Supporting Family Priorities and Team-Based Practice Supports). Families, support coordinators, System Point of Entry Staff, and providers are participating in the pilots. EarlySteps staff and our technical assistance consultants will review the results and finalize the three tools for full implementation by January 2019.
 - Toni Ledet, the coordinator for the *Inclusive Child Care Capacity Building Project*, funded by the DD Council is setting up a meeting with the Department of Education to enhance collaboration between EarlySteps and Child Care centers for this project. The focus for the project is to provide training and coaching for EarlySteps providers and child care center staff to support infants and toddlers with disabilities in early care and education settings.
- Following the submission of the Annual Performance Report (APR) in February 2018, the SSIP update was submitted to the US Department of Education, Office for Special Education Programs (OSEP) on April 1, 2018. Louisiana received its APR performance “determination” at the end of June, Needs Assistance - the second highest level that can be issued.
- The state will receive its “results” designation on the SSIP in October 2018. This designation is a risk designation of a state’s need for technical assistance and monitoring as part of OSEP’s results accountability model.

INITIATIVES

Employment

- OCDD completed on-site technical assistance with several providers to discuss their transformation this far. OCDD met with the providers and their boards around the state to discuss compliance with the Home and Community-Based Services Settings Rule. Providers continue to work to move into compliance.
- OCDD completed several vocational provider meetings with open discussions and sharing of ideas to assist them with their transition process. OCDD will continue to offer technical assistance and guidance to providers in this way.
- OCDD continues to be an active participant in the Employment First Vision Quest team as well as the State as a Model Employer Team; both are being directed from the Governor's Office of Disability Affairs.

Home and Community-Based Services (HCBS) Settings Rule Activities

Louisiana Department of Health (LDH) has not yet received final approval on the Statewide Transition Plan (STP) that was submitted to CMS regarding the state's plan to come into compliance with the HCBS Settings Rule. Prior to submitting the STP for final approval, LDH will incorporate stakeholder responses into the plan and complete statewide systemic assessment and validation activities. Upon completion, the plan will be posted for public comment prior to being submitting to CMS.

OCDD is on target to complete the following activities in the Fall of 2018:

- Complete comprehensive site-specific assessments of all HCBS settings, implement necessary strategies for validating the assessment results, and include the outcomes of these activities within the STP;
- Draft remediation strategies and corresponding timelines which will resolve issues that the site-specific settings assessment process and subsequent validation strategies identified by the end of the HCBS Settings Rule transition period;
- Outline a detailed plan for identifying settings that are presumed to have institutional characteristics, including qualities that isolate HCBS beneficiaries, as well as the proposed process for evaluating these settings and preparing for submission to CMS for review under Heightened Scrutiny;
- Develop a process for communicating with participants that are currently receiving services in settings that the state has determined cannot or will not come into compliance with the HCBS Settings Rule; and
- Establish ongoing monitoring and quality assurance processes that will ensure all settings providing HCBS continue to remain fully compliant with the rule in the future.

Request for Services Registry Screening

- Total of 10,184 Screening of Urgency of Need (SUN) tools have been completed.
- OCDD continues to screen everyone on the RFSR who can be located and who is willing to participate in the screening, including people who newly move onto the Registry, people who have a change in status, and routine re-screenings for people with previous SUN scores of 2/1/0.

Tiered Waiver System

OCDD's four developmental disability home and community-based waivers [New Opportunities Waiver (NOW), Residential Options Waiver (ROW), Supports Waiver, and Children's Choice Waiver] have been operationalized to a tiered waiver service delivery system, which will allow individuals to be supported in the most appropriate waiver. Home and community-based waiver offers are now made based on individuals' prioritized need for support, and individuals participate in a person-centered planning process to determine the most appropriate waiver to meet their needs.

Since the implementation of the tiered waiver system, a total of 1,194 individuals have accepted an offer and have been linked to Support Coordination and 668 people have been certified into waiver. Currently all persons that had a SUN score of 3 or 4 on the RFSR have received offers.

Money Follows the Person (MFP) Rebalancing Demonstration (My Place Louisiana) as of September 7, 2018 -- Cumulative Data

- MFP activities provided:
 - Pre- and post-transition assistance requests total 503 with 242 My Place participants receiving assistance (Note: Many participants have made multiple requests.)
 - Direct Service Worker (DSW) Specialization Training for 292 provider staff and family members
- Housing Relocation Assistance Program (HRAP) activities included:
 - 109 referrals for HRAP
 - 31 families or individuals have moved into housing located by the contractors
 - Another 70 people received lists of appropriate housing options for their review
- Total of 435 people have transitioned to the community with My Place supports:
 - New Opportunities Waiver (NOW): 355 individuals
 - Children's Choice (CC) Waiver: 63 children
 - Residential Options Waiver (ROW – My Place): 9 children
 - Residential Options Waiver Pilot (ROW Pilot): 8 individuals
- Currently, there is a bill at the federal level to continue funding for the MFP grant for an additional year. If the bill is passed, MFP offers will continue for one additional year. However, if the bill does not pass, no new MFP activities will be initiated after December 31, 2018. Current funding runs through 2020, and those in the MFP program will be able to get services through the program for a full year even if the bill does not pass.

Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID) Programmatic Unit

- The ICF/IID Programmatic Unit teams have completed assessments with the five pilot providers and have developed Quality Improvement Plans with each. These quality teams are now working together with these providers to initiate the plans.
- For the upcoming quarter, the ICF/IID Programmatic Unit will continue to assess the effectiveness of the Quality Improvement Plans and make modifications where needed to keep the focus on quality improvement.
- The ICF/IID Programmatic Unit continues ongoing assessment of the pilot program to determine its effectiveness and, when indicated, to implement changes, such as development of program indicators, tools tied to these indicators, instructional binders for staff, etc.

Clinical Services: Building Capacity to Support Persons with Complex Needs

The following information outlines Resource Center activities inclusive of crisis referral and trends associated with placement requests to OCDD Central Office covering the period of January 1, 2018 - September 18, 2018, as well as provides a current update on the Resource Center (RC) and Local Governing Entity (LGE) collaboration on the Non-Consensual Sexual Behavior (NSB) process as of September 18, 2018.

- For the period noted above there were 54 crisis referrals. Diversion efforts for 10% of these persons are underway, so these cases remain open at the current time and will not be included in the RC consultation or resolution data report. Out of the remaining 49 cases that have reached resolution, 39% of these individuals have required admission to Pinecrest Supports and Services Center (PSSC).
- Thirty-six of forty-nine cases (73%) received a crisis consultation from the Resource Center, with the ability to divert 67% of these individuals from long-term institutionalization. As previously noted, since some cases are still open and diversion efforts are underway, these cases are not included in this analysis. However, the Resource Center remains engaged in the cases to assure that no diversion efforts are missed.
- The last three year's trend relative to persons being referred from other more intensive and institutional-type settings has continued for the period noted above, with 61% of referrals coming from institutional/acute care settings. Further breakdown within these settings reveals that 31% of these referrals were from psychiatric hospital settings, 4% were for persons who were incarcerated, 20% were supported in ICF/DD settings, 4% were in an acute care setting, and 2% of persons were in a psychiatric residential treatment facility at the point of referral.
- Efforts toward assuring that persons with a history and/or current challenges related to non-consensual sexual behavior (NSB) have access to the needed supports remain ongoing. This period's data revealed that 216 persons are receiving follow up by the local oversight team. During this quarter's reporting, there were no individuals who had a subsequent incident of NSB.

OCDD continues to collaborate with the Office of Behavioral Health (OBH) and Medicaid relative to triaging and coordinating services for persons with complex support needs. As

noted in prior updates, OCDD in partnership with OBH was awarded a Transformation Transfer Initiative (TTI) grant to provide cross-system training and education for clinicians, administrators, and direct-support professionals to develop expertise to support persons with co-occurring intellectual/developmental disabilities (IDD) and mental health needs. Technical assistance and consultation sessions were completed for all behavioral health clinic sites for the participating Local Governing Entity (LGE), and this provider is slated to be awarded the second incentive and Basic Training and Education Designation. The didactic training series for the selected Psychiatric Residential Treatment Facility (PRTF) provider was also completed, and the intensive technical assistance and consultation phase is now in progress.

Additionally, the initiative between OCDD and the DD Council to develop training and consultation to build provider capacity to support persons with complex needs continues to progress. The pilot provider and the Monroe provider completed all phases of the initial year and year two extension with significant maintenance of initial outcomes and quality of life outcomes. The Lafayette provider began in January 2017 and has completed Phase 1 (training), Phase 2 (initial technical assistance and implementation of recommendations), and Phase 3 (outcomes) and earned each incentive. OCDD is working with this provider for the extended phase of this project. The Alexandria provider engaged in the project beginning in March 2018. This provider has completed Phase 1 (training) and earned the initial incentive; the provider is nearing completion of Phase 2 (initial technical assistance and implementation of recommendations). OCDD and the DD Council attempted to implement a pilot with use of core modular program to support base knowledge for Direct Support Professionals, to evaluate for possible inclusion in routine 16-hour annual training, and to extend participation in the project to those in self-direction. Logistical considerations and attendance issues did not support extending this component.